

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

-----x  
**In re**

**LEHMAN BROTHERS HOLDINGS INC., et al.,**  
**Debtors.**  
-----x

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**Chapter 11 Case No.**

**08-13555 (SCC)**  
**(Jointly Administered)**

**Ref. Docket Nos. 43868, 44511,  
44512, 44514**

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On June 12, 2014, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
13<sup>th</sup> day of June, 2014

/s/ Panagiotis Caris  
Notary Public, State of New York  
No. 01CA6237515  
Qualified in Queens County  
Commission Expires March 21, 2015

## **EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 SCC

(Jointly Administered)

NOTICE OF DEFECTIVE TRANSFER

Transferor: HYPOSWISS PRIVATBANK AG  
SCHUTZENEGASSE 4  
ZURICH CH-8021 SWITZERLAND

Additional:

Transferee: ST. GALLER KANTONALBANK AG  
ST. LEONHARDSTRASSE 25  
ST. GALLEN 9000 SWITZERLAND

Your transfer of claim # 40660 is defective for the reason(s) checked below:

Other Clarification is needed on whether the transfer is a partial

Docket Number 43868

Date 03/14/14

/s/ Lauren Rodriguez

By Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor s

For EBS USE ONLY This notice was mailed to the transferor, transferee, and debtor s counsel, by first class mail, postage prepaid on June 12, 2014.

## **EXHIBIT B**

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

TIME: 09:51:36  
DATE: 06/12/14

Name	Address
HYPOSWISS PRIVATBANK AG	SCHUTZENEGASSE 4 ZURICH CH-8021 SWITZERLAND
LLOYDS TSB BANK PLC(GENEVA BRANCH), ON	MRS. RUTH KNIGHT MONTE MARINA 31, AVENUE DES PAFALINS MC 98000 MONACO
BEHALF OF:	
LLOYDS TSB BANK PLC(GENEVA BRANCH), ON	MS. LAVINIA SAMUEL "LE SHAKESPEARE" 12 BLD PRINCESSE CHARLOTTE MONACO MC 98000 MONACO
BEHALF OF:	
LLOYDS TSB BANK PLC(GENEVA BRANCH), ON	LLOYDS TSB BANK PLC GENEVA BRANCH ATTN: CORPORATE ACTION PLACE BEL-AIR 11 1211 SWITZERLAND
BEHALF OF:	
LLOYDS TSB BANK PLC(GENEVA BRANCH), ON	LLYODS TSB BANK PLC GENEVA BRANCH ATTN: CORPORATE ACTIONS PLACE BEL-AIR 11 1211 SWITZERLAND
BEHALF OF:	
ST. GALLER KANTONALBANK AG	ST. LEONHARDSTRASSE 25 ST. GALLEN 9000 SWITZERLAND
UNION BANCAIRE PRIVEE, UBP SA	ATTN: MR. MEHMET CENGIZ ALPER RUE DU RHONE 96-98 GENEVE CH-1211 SWITZERLAND

Total Number of Records Printed

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